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Résumé de l'article

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EXISTENTIAL SENTENCES IN ARABIC-ENGLISH TRANSLATION

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Résumé

L'auteur propose une étude des phrases «existentielles» dans la traduction arabe-anglais à partir d'un corpus d'observation des deux langues (un livre écrit en arabe et traduit en anglais : Awlaadu Haarratina). Il relève les phrases existentielles dans chacune des versions et constate que ces dernières, en arabe, ont été traduites par des phrases anglaises du même type, mais que les phrases existentielles anglaises sont quelquefois des traductions de phrases arabes non existentielles. Il conclut que les phrases anglaises ont deux fonctions : affirmer l'existence d'une situation, et amener une situation donnée à l'existence, les phrases arabes n'ayant que la première de ces fonctions. De plus, l'anglais fait un usage plus important de phrases existentielles et les deux langues diffèrent dans leur vision du monde, une situation étant souvent envisagée par l'anglais comme un état, alors que pour l'arabe, elle est un événement.

INTRODUCTION

Existential sentences assert either the absolute existence of an entity, e.g. *There is God*, or the existence of an entity in a particular location, e.g. *There is a ghost in the garden*. They have certain syntactic and semantic features which are believed to be common to most if not all languages. Syntactically, their structure consists of an indefinite nominal expression and a locative, explicitly expressed or implied. In some languages they are introduced by an unstressed semantically empty word to avoid starting a sentence with an indefinite expression: English *there*, Hebrew *yeš*. Other languages use a special word order placing the locative before the indefinite expression: Arabic, *fi al-hadiiqati rajulun* (in the garden a man: There is a man in the garden). Semantically, they are said to refer to the existence of something or they introduce something into the world of discourse (Lyons 1967; Bolinger 1977).

This paper examines existential sentences in Arabic-English translation. We will first discuss briefly the main types of existential constructions in the two languages, then investigate these constructions in an Arabic text and its English translation. We will also attempt to find out how existentials are equated across the two languages and what similarities and differences are involved in the process of translation. The data are based on a corpus comprising 552 pages of an Arabic novel, *Awlaadu Haarratina*, by Najib Mahfuz (Beirut, 5th edition, 1986) and its English translation by Philip Stewart (London, Heinemann, 1981).

English existential sentences have been discussed by a number of scholars including Quirk *et al.* (1985: 1402-1414), who make use of the idea of "given" and "new" information in their description. English uses the existential "there" to avoid starting an utterance with a theme conveying new information. Thus instead of *A ghost is in the garden* the speaker produces *There is a ghost in the garden*. The sentence with an indefinite theme is felt to be awkward (Quirk 1985: 1402).

The commonest type of existentials in English is the one which comprises "there + be." Occasionally, a verb other than *be* is used in this construction. There is also a minor

type of existentials which contain "have" instead of "there," e.g. *I have three stamps missing from my collection* (cf. *There are three stamps missing from my collection*). In this paper, We will deal exclusively with "there" existentials.

Briefly, existential sentences in English may be grouped under four headings.

- a. Locative existentials, which assert the existence of an entity in a certain location. These sentences have the structure: *There + be + predication*, and can be directly related to the basic patterns of English sentences.
 1. Nothing is left here — *There is nothing left here.*
 2. In the next room a man is giving a lecture — *In the next room, there is a man giving a lecture.*
- b. Bare existentials, which assert the absolute existence of a person or a thing. They have the structure: *there + be + nominal expression*.
 3. *There is no justice.*

These sentences are not directly derived from the basic patterns. Often they are accounted for on the basis of deletion, e.g. *There is no justice in this place*. This construction also includes the patterns where the indefinite expression is modified by a relative clause or by an infinitive.

4. *There are plenty of people who would like to do it.*
 5. *There is no need to stay.*
- c. Existentials with a verb other than *be*, which bring into existence some entity. Instead of verb *be*, they contain certain verbs termed verbs of 'appearance' — or coming into existence — on the scene (Firbas 1966: 243; Breivik 1981).
 6. *There appeared some clouds in the horizon.*
- d. Existentials with a definite expression, which have the structure: *There + be + definite expression*. These definite existentials are also termed list sentences (Rando and Napoli 1978).
 7. — *How could we get there?* — *Well, there's the trolley* (Rando and Napoli 1978).

There is no systematic study (as far as I know) which is wholly concerned with describing existential sentences in modern Standard Arabic. The little information that one obtains about these sentences is scattered in traditional grammar books. I will therefore attempt a brief description of Arabic existential sentences here.

Four type of existential constructions may be recognized in Arabic.

- a. Existential sentences exploiting word order. Arab grammarians stress that in Standard Arabic a sentence cannot start with an indefinite expression (which is termed subject). Thus, **rajulun fi al-hadiiqati* (a man in the garden: a man is in the garden) is unacceptable. In such cases, the normal word order, subject-predicate, is inverted: *fi al-hadiiqati rajulun* (in the garden a man: There is a man in the garden), which is described as predicate-subject. This reorganization of the elements of a sentence confirms the general syntactic restriction on existential sentences with regard to an indefinite element in the initial position. However, in a negative or an interrogative construction where the initial position is occupied by the negative or interrogative particle, the original subject-predicate order is permitted: *la rajula fi al-hadiiqati* (Neg-particle man in the garden: There is no

man in the garden); *hal rajulun fi al-hadiiqati?* (Q-particle man in the garden: Is there a man in the garden?). In this pattern Arabic exploits the relative flexibility of its word order to reorganize the message thematizing "given" information and placing "new" information towards the end of the sentence.

- b. *Thamma* Existentials. The word *thamma* (f. *thammata*) is used in the initial position of this construction to avoid starting a sentence with an indefinite expression conveying "new" information. *Thamma* is in this pattern semantically empty and often introduces absolute existentials, as in:

7. *Thamma asbaahun okhra.* (thamma-reasons other: There are other reasons.)

In negative or interrogative sentences and in sentences marked for past time by the existential verb *kaana*, *thamma* follows the negative and the interrogative particles and *kaana*.

8. *Iam yakun thamma sabahun li dhaalika.* (neg-particle kaana thamma reason for that: There was no reason for that.)

9. *a thamma shai' un yaqifu fi tariiqika?* (Q-particle thamma something standing in your way: Is there something standing in your way?)

- c. *Hunaaka* (*Hunaalika*) Existentials. In this type *hunaaka* (or *hunaalika*) is used initially as a dummy form followed by an indefinite expression and a locative.

10. *hunaaka rajulun fi al-hadiiqati.* (hunaaka man in the garden: There is a man in the garden.)

In the grammar of Standard Arabic, *hunaaka* is an adverb of place (similar to the adverbial "there" in English). The dummy *hunaaka* was introduced at the beginning of this century, by translators, under the influence of European languages, much to the chagrin of the purists, who have been fighting a losing battle against this use of *hunaaka*. In this sense, *hunaaka* has taken some of the function of word order (cf. the example, *fi al-hadiiqati rajulun*, in a above).

- d. *Existentials with a verbal element.* Normally, existential sentences in Arabic are verbless, at least at the level of surface structure. They are therefore timeless. If the speaker wants to mark these sentences for time, especially the past, the verb *kaana* is used. There is however a type of existentials which have the verb *yujadu* "exist." In such sentences "existence" is explicitly expressed.

11. *yujadu rajulun fi al-hadiiqati.* (exist a man in the garden: There is a man in the garden.)

These sentences follow the normal Arabic pattern, VSA.

ANALYSIS OF THE TEXTS

The Arabic text and its English translation were examined with regard to the existential constructions discussed in the Introduction. The results will be stated briefly in this section; the next section will be devoted to explaining the results, and then we will summarize the main conclusions.

The Arabic text revealed 120 instances of existential sentences, distributed as follows: the word order construction 76 instances, existentials with the verb *yujadu* 21 instances, *thamma* existentials 15 instances, and *hunaaka* existentials 8 instances.

In the English text, 270 instances of existentials were attested; 141 instances belong to bare existential construction. Within this type the relative pattern claims 14 instances, the infinitive 9 and the rest belong to the normal bare existential pattern (cf. example 3).

The next most frequent subtype was the locative existential, where 111 instances were attested. The definite existential and existentials with a verb other than *be* have each 9 instances. Tables 1 and 2 summarize these results.

TABLE 1
Types of existentials in the English text

Type	N° of instances	%
Bare	141	52.22
Locative	111	41.12
Definite	9	3.33
Verb other than <i>be</i>	9	3.33
Total	270	100

TABLE 2
Types of existentials in the Arabic text

Type	N° of instances	%
Word order	76	63.33
Yujadu	21	17.50
Thamma	15	12.50
Hunaaka	8	6.67
Total	120	100

EXPLANATION OF THE RESULTS

Two main points will be discussed in this section: correspondence and non-correspondence between the instances of existentials in the two texts. First we will be concerned with Arabic non-existential sentences *vs.* English existentials; then we will deal with correspondence between the Arabic existentials and the English existentials.

Arabic Non-existential Sentences *vs.* English Existentials

A notable fact emerging from the analysis of the two texts is that Arabic uses fewer existential constructions than English does: 120 instances *vs.* 270 (44.44 %). All the four types of English existentials have corresponding instances from among instances of non-existential sentences in the Arabic text as illustrated by these examples. (The number between brackets refers to the page.)

12. There was silence (52). (Bare existential)
wa saada al-samtu (83).
13. There was light behind the shutter (55). (Locative)
wa taraa't li 'aynayhi anwaarun waraa'a shiishi ba'd al-nawaafidhi (87).
14. There followed a flurry movement (85). (Verb other than *be*)
wa tataaba'at harakaatun khaatifatun (132).
15. ...and there was Idris's voice saying... (38) (Definite)
wa idhaa bi sawti idriis yaquulu... (61).

By far the largest number of instances of non-correspondence belongs to bare existentials, although the highest percentage is found in the existential construction which has a verb other than *be*; 8 instances out of the total 9 have no corresponding Arabic existentials. Only 1 instance has an Arabic existential equivalent represented by *hunaaka* construction.

16. There does not seem to be any reason to be frightened (189).
yahdu an laa daa'iya hunaaka li al-khawfi (292).

The next highest percentage is found in the definite existential, where 7 out of the total 9 instances have no existential equivalents in Arabic. The 2 instances that have are realized by word order and *hunaaka* constructions.

17. There is the book, the greatest book of magic (312).
hunaaka al-kitaabu, kitaabu al-sihri al-awwalu (216).
18. But there was also the Trustee (139).
wa laakinna fiha aydan naazira al-waqfi (216).

The definite English existential frequently corresponds to a certain construction in Arabic introduced by *idhaa* expressing "suddenness." This construction is normally used when some entity comes into the world of discourse (presentative existence) as in examples 15 and 19.

19. There was Omayma looking very pretty (18).
wa idhaa bi omayma talhaqu bi hi (31).

The high percentage of non-correspondence between existential sentences in the two texts (English 270 vs. Arabic 120 instances) may be explained on the basis of how the two languages envisage a certain situation¹. The English existential sentence introduced by "there+be" depicts a situation as a state, something which has already happened and is going on. Thus, *There was silence* basically means *Silence had started some time before and was going on at the time of utterance*. In this example the situation expressed by the sentence is bare existential; but this explanation also holds for a locative existential: *There is a man in the room* roughly means *a man came in and is now in the room*. In each of these cases the reference is to the situation following the event. This may be contrasted with the other type of English existentials which has a verb other than *be*. E.g. *There came a man*. Such sentences refer to the event itself rather than to the state following it (cf. Quirk 1985: 1408). Arabic, on the other hand, often envisages such a situation as dynamic: it refers to the event, or its coming into existence. To express this, it chooses a semantically full verb rather than an empty stative verb like the English *be*. The following examples from the corpus illustrate the point.

- 20a. There was silence (52).
b. *wa saada samtum* (83). (Lit. Silence prevailed.)
- 21a. There was no shortage of solutions (78).
b. *lam tadiq binaa al-huluulu* (122). (Lit. Solutions came to us in no small number.)
- 22a. There was anger in his voice (57).
b. *washa sawtuhu bi al-ghadabi* (59). (Lit. His voice revealed anger.)

- 23a. There is pain in your face (64).
b. *wajhuka yundhiru bi al-shaqaa'i* (100). (Lit. Your face shows misery.)
- 24a. There was a great deal of laughing and shouting (141).
b. *wa ta'aalat dahikaatun wa sarkaaturun* (218). (Lit. Laughing and shouting rose.)
- 25a. There was a knock at the door (170).
b. *wa duqqa al-baabu* (264). (Lit. The door was knocked.)
- 26a. There were looks of protest (179).
b. *laahat nazaraatu al-inkaari* (278). (Lit. Looks of protest appeared.)
- 27a. There was silence in the cafe (208).
b. *wa saada al-samtu fi al-qahwati* (322) (Lit. Silence prevailed in the coffee.)

In each of these examples, the reference in English is to a situation perceived as a state. This is true even of example 25a, containing the verb "knock," which expresses a short action. Here it is envisaged, so to speak, as a "frozen" situation, a situation going on, albeit for a short period. In Arabic, the situations expressed by these examples are perceived as dynamic, occurring rather than existing. Such situations are expressed by non-existential sentences containing full lexical verbs.

Further evidence in support of this point is the high percentage of English existential sentences with a verb other than *be* equated with non-existential constructions in Arabic. These English sentences basically describe dynamic situations, which in Arabic are normally expressed by non-existential constructions.

Arabic Existentials vs. English Existentials

Correspondence between Arabic existentials and English existentials in the two texts is, as stated before, lower than 50%. However, it is found between all the patterns of the two languages, as shown by these examples.

- 28a. There is no room in the house (35). (Locative)
b. *fa la makaana fi al-bayti al-kabiiri* (56). (Word order) (Lit. no place in the big house.)
- 29a. There's no drudgery (38). (Bare)
b. *la 'amala li al-quuti* (61). (Word order) (Lit. no work for food.)
- 30a. There was a general trade (73). (Bare)
b. *thammata tijaaratun musharakatun* (115). (Thamma) (Lit. thammata general trade.)
- 31a. Is there any way to escape? (98) (Bare infinitive)
b. *'a la yujadu sabiilun ila al-harabi* (152) (Yujadu) (Lit. Q-particle Neg.-particle yujadu way to escape?)
- 32a. There are men in the gebel. (259). (Locative)
b. *fa hunaaka fi al-jabali rijaalun* (401). (Hunaaka) (Lit. Hunaaka in the mountain men.)
- 33a. There is the book, the greatest book of magic (312). (Definite)
b. *hunaaka al-kitaabu — kitaabu al-sihri al-awwalu* (486). (Hunaaka) (Lit. hunaaka the book, the greatest book of magic.)

Arabic existential sentences are usually verbless (*cf.* examples 28-30 and 32-33). In isolation, such sentences are timeless; in speech, they acquire their time from the context. Occasionally, they are marked for time, especially the past. In this case, *kaana* is used often in the first type (word order), but also in the other types.

- 34. *kaana fi al-dunya khayrun* (32).
(Lit. kaana in the world good: There was some good in the world (118).)
- 35. *wa kaana thammata maa yadullu 'ala mawlidin* (153).
(Lit. and kaana thammata what points to a saint's day celebration: There were signs that a saint's day was being celebrated (98).)

This *kaana*, termed by Arab grammarians *al-naaqisatu* "defective," functions as a logical copula linking the subject of the sentence with the predicate. There is another type

of *kaana*, termed *al-taamatu* "the full verb," which is followed by a nominal expression in the nominative, as in the first instance of *kaana* in the following example: *kaana rajulun wa kanaa lahu banuunun* (Wright 1971: II, 99). This latter type, which expresses bare existence and "encapsulates" its subject, is now felt to be archaic. No instances of it were attested.

In example 31b. the existential nature of the utterance is explicitly expressed by the verb *yujadu* "exist." Here is another example of the same type.

36. *yujadu rajulun fi suuqi al-muqattami jaawaza al-mi'ata wa al-khamshiina min al-'umri* (484).
(There's a man over hundred and fifty in Souk Mukattam (309).)

Both *kaana* and *yujadu* are stative verbs; they refer to a situation going on, a state.

If we turn to the corresponding English existentials, we find that they all have the structure "There + be," which refers to the existence of a situation rather than to its coming into existence. They describe the same sort of situation which is described by the corresponding Arabic existential sentences.

CONCLUSION

Existential sentences are said to have two semantic functions: (i) they assert the existence of a situation and (ii) they bring into existence a situation (cf. Lyons 1967; Bolinger 1977; Breivik 1981; Ziv 1982). English existentials seem to have both functions, as shown above; whereas Arabic existential sentences have only function (i), they refer to the existence of a situation. Function (ii) of existentials, bringing a situation into existence, is normally expressed in Arabic by non-existential constructions.

On the quantitative level, English makes more use of existential sentences than Arabic does. This partly follows from the semantic function of existentials in the two languages. Apart from this, the two languages differ in the way they perceive a situation; English often envisages it as a state, whereas Arabic views it as an event.

The implication of these findings for translation is obvious; some readjustment has to be made where existential sentences are involved.

Notes

1. The term *situation* is used here in the sense used by Lyons (1977: 494) as a cover-all term which includes states, events, activities, etc.

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