A Descriptive Study of Norms in Interpreting: Based on the Chinese-English Consecutive Interpreting Corpus of Chinese Premier Press Conferences

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Article abstract

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Cite this article

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Based on the Chinese-English Consecutive Interpreting Corpus of Chinese Premier Press Conferences

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ABSTRACT
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**MOTS-CLÉS/KEY WORDS**

normes d’interprétation, étude descriptive, méthodologie, écart, conférence de presse

norms of interpreting, descriptive study, methodology, shift, press conference

1. Introduction

For a long period in its history, interpreting studies has focused on the exploration of cognitive processing in interpreting behaviors. According to Baker’s observation, the vast majority of research [on interpreting] has been, and continues to be, devoted to investigating cognitive aspects of interpreter performance. […] Little or no attention has so far been given to investigating constraints which arise from the nature of the role played by the interpreter and the pressures on him or her by other participants in specific settings. (Baker 1997: 111)

In spite of its significance, the exploration of cognitive-related issues cannot be regarded as being the whole of interpreting studies. An adequate description of interpreting behaviors and activities, as the disciplinary foundation of interpreting studies, requires not only the exploration of internal cognitive processing factors but also the examination of external social and cultural factors. Interpreters’ interpreting performance is shaped by all these factors in combination. The major shaping forces of interpreting performance include: a) the interpreter’s interpreting competence, b) the cognitive conditions on-site and c) norms of interpreting. Their relationship to interpreting performance can be embodied by the figure as follows:

**FIGURE 1**

Major shaping forces of interpreting performance

Among the three major shaping forces of interpreting performance, “norms of interpreting” can be defined as the shared values and ideas among interpreters of the profession and users of interpreting service on what the generally-accepted interpreting methods and strategies are and what the right and proper interpreting behaviors are. In descriptive translation studies, “norm” as a descriptive term is used to refer to “regularities of translation behavior” (Toury 1995: 56). It functions on a continuum of “socio-cultural constraints” between the two extremes of “idiosyncracies” and “absolute rules” (Munday 2009: 210). Norms are internalized rules, reflecting the shared values of a social or cultural group on the behaviors of its members (Toury 1980: 51). Interpreting, as a social behavior and activity, is certainly governed by norms. That is to say, norms of interpreting guide interpreters in their choice of strategies in interpreting behaviors and shape the interpreting activities in a socio-cultural context.
The significance of research into norms of interpreting was first proposed by Shlesinger (1989) in the debut issue of *Target*, which was soon echoed by Harris (1990) and then highlighted by Schjoldager (1994; 1995), Gile (1999) and Garzone (2002). While scholars raised the issue of methodological obstacles involved in the research of norms in interpreting, esp. the difficulty of building up a corpus for describing norms and the representativeness of such a corpus (Shlesinger 1989) and other scholars tried to avert such difficulties by gathering data from experiments in a simulated/didactic situation (Schjoldager 1995), Gile (1999: 99) emphasized the necessity of studying norms in interpreting research by noting that “[norm] is a neglected factor in interpreting research” and it provides “an attractive avenue for new projects.” He rightly pointed out that “interpreting strategies are at least partly norm-based.” Gile exemplified his view by identifying such norms as “maximizing information recovery” and “maximizing the communication impact of the speech,” which he originally named as “rules” that interpreters tend to follow (Gile 1995: 201-204). Garzone, who defined norms as “internalized behavioral constraints which govern interpreters’ choices in relation to the different contexts where they are called upon to operate” (Garzone 2002: 110), explored the ground-breaking significance of norms to the study of quality in interpreting.

2. Research Question

The present study intends to conduct a descriptive study of norms in the interpreting activities of Chinese Premier Press Conferences. It tries to explore what actual norms the on-site consecutive interpreting in Chinese Premier Press Conferences embodies, especially with regard to source text and target text relations.

3. Methodology

3.1. Research paradigm

This study employs the research paradigm of descriptive translation studies (Toury 1980; 1995). The norms of source-target text relations are described through the inter-textual comparison between the source text (ST) and the target text (TT). With the comparative analysis, “shifts” in the target text will be identified.

“Shifts” is an analytic tool of norms in descriptive translation studies, which refers to changes in the target text as compared with the source text (van Leuven-Zwart 1989; 1990; Toury 1980; 1995). It must be pointed out that shifts do not mean translation errors and translation errors are not included in the category of shifts. It should also be noted that the necessary changes caused by the systemic formal differences between the source and target texts are not counted as shifts either.

3.2. Data collection and selection of on-site interpreting

This study collected the on-site interpreting data of 11 Chinese Premier Press Conferences, which is held annually from 1998 to 2008. The major speakers of the 11 press conferences are Premier Zhu Rongji (1998-2002) and Premier Wen Jiabao (2003-2008); in addition, there are interventions in the form of questions from journalists of various media throughout the world. Although the speakers might have

In the case of the three interpreters who worked for more than one press conference, a single conference has been selected to represent each interpreter. Therefore, a total of five press conferences (in the years of 2000, 2002, 2004, 2005 and 2008) are selected as representative data for analysis.

3.3. Principles of data collection and selection

Two major principles are followed in the process of data collection and selection.

3.3.1. Control of variables

Considering that interpreting performance is shaped by three major factors – the interpreter’s competence, the cognitive conditions on site and norms of interpreting – and this study focuses on norms of interpreting, the other two variables need to be controlled. Through the data selection of the interpretation of in-house interpreters from the Ministry of Foreign Affairs, who are generally regarded as representing the seasoned level of professional interpreting competence, the first variable is controlled as much as possible; and through the data selection of consecutive interpreting, in which the interpreter has relatively more time to decide on interpreting strategies, the second variable will not play at such a level as to interfere with the functioning of interpreting norms.

3.3.2. Representativeness of the data

In spite of the difficulty of gathering large-scale homogeneous data in interpreting studies, which is quite necessary for the study of norms, the present study manages to build a relatively large-scale corpus of the interpretation in Chinese Premier Press Conferences based on the live TV broadcast every year. With the major speakers being two premiers of the government representing different speaking styles and five different interpreters (three female interpreters and two male interpreters) working for the 11 conferences, the data are representative.

It is also noteworthy that interpretation for Chinese Premier Press Conferences has always been regarded as being very stringent with little room for the interpreter’s latitude, which is characteristic of diplomatic and political settings (Ren 2000; Xu 2000). If “shifts” can be found in this type of interpreting, then the norms of interpreting inferred in the present study shall be considered as being widely representative.

3.4. Processing of the data

The original data of the on-site interpreting are collected through the audio-video recording of live TV broadcast. With the duration of every press conference varying between one hour 15 minutes and two hours, the length of recording of the five press conferences chosen for analysis is nearly nine hours. The word count of the transcript
of the source text in Chinese and the target text in English is 71,730 words. Four steps of processing are done on the data:

1) transcription of the source text and target text from the audio-video recording;
2) manual alignment of the source and target texts and making them into a parallel corpus;
3) annotation of the “shifts” in the target text as compared with the source text;
4) qualitative and quantitative analysis of the “shifts.”

4. Analysis of the Corpus: ST-TT Inter-textual Analysis

Through inter-textual comparative analysis of the parallel corpus, three types of shifts are discovered, including Type A shifts – “Addition,” Type R shifts – “Reduction” and Type C’ shifts – “Correction.”

4.1. Type A shifts – “Addition”

Five sub-types are identified under the category of Type A shifts: A1 shifts (addition of cohesive devices), A2 shifts (informational addition and elaboration), A3 shifts (explicitation of intended meaning), A4 shifts (repetition), A5 shifts (addition proper).

4.1.1. A1 shifts: Addition of cohesive devices

In A1 shifts, interpreters add either textual cohesive devices or logic connective expressions to their target texts in interpretation to make the implicit textual or logical connection explicit in the target texts.

<table>
<thead>
<tr>
<th>ST</th>
<th>Literal Translation of ST</th>
<th>TT</th>
</tr>
</thead>
<tbody>
<tr>
<td>朱总理: 我借此机会向新闻界的各位新老朋友表示我的衷心的问候，而且我祝大家今天好运，能够被点中发问。</td>
<td>[Premier Zhu: I’d like to take this opportunity to give my sincere greetings to all the old and new friends from the media. I wish you good luck in getting the opportunity to ask a question.]</td>
<td>So let me take this opportunity to, first of all, convey my best wishes to all the new and old friends from the media and also let me wish you a very good luck, because I do hope that you will get the floor and you will get the opportunity to pose a question. A1 A1 A1</td>
</tr>
</tbody>
</table>

(2)

温总理: 中国的崛起是中国多少代人的梦想, 中国和平崛起的要义在什么地方? 第一, …… | [Premier Wen: The rise of China is the dream of the Chinese people for many generations. What are the connotations of China’s peaceful rise? Firstly, …] | The rise of China and its rejuvenation are the dreams of the Chinese people of many generations. What are the connotations of China’s peaceful rise? Let me make the following points: … A1 |

(3)

朱总理: 我们反腐取得了很大的成绩, 你们杀了我们那么多人吗? | [Premier Zhu: We have made great achievements in anti-corruption. Have you killed so many people as us?] | We have achieved a lot of significant result and progress in our campaign against corruption. For instance, have you also witnessed so many such a big figure of corruptive people who have also been executed in your country? A1 |
In example 1, the interpreter adds such textual cohesive devices as *so, first of all* and logic connective word *because*. In example 2, the interpreter adds *Let me make the following points* in order to begin the listing of several points the speaker has made. In example 3, the interpreter adds *For instance*, which makes the implicit logical connection explicit in the structure of target texts.

### 4.1.2. A2 shifts: Informational addition and elaboration

A2 shifts refer to the addition and elaboration of background information with situational, contextual and cultural significance in the target text.

<table>
<thead>
<tr>
<th>(4)</th>
<th>[MC: Today’s press conference will last for about one and a half hours. Now, let me give the floor to the Premier.]</th>
<th>Today’s press conference is expected to last for about one and a half hours. Now, let me give the floor to the Premier.</th>
</tr>
</thead>
<tbody>
<tr>
<td>(5)</td>
<td>[Premier Zhu: Dear friends, this is the third press conference since I took office as Premier.]</td>
<td>All the friends from the press, today, actually, I’m having my third press conference as the premier of the State Council of China.</td>
</tr>
<tr>
<td>(6)</td>
<td>[Premier Zhu: Now we have already put more investment in Western Regions.]</td>
<td>And now the State has decided to concentrate much of the State investment into the building of infrastructure in that part of our country.</td>
</tr>
</tbody>
</table>

In example 4, the interpreter adds *for some opening remarks* illustrating the situational information of the press conference. In example 5, the interpreter adds *(friends) from the press* and *(Premier) of the State Council of China* as complement in background information. In example 6, the interpreter adds *(investment) into the building of infrastructure*, which is actually specific information embedded in the speaker’s context.

### 4.1.3. A3 shifts: Explicitation of intended meaning

A3 shifts refer to the phenomenon that interpreters make explicit in the target text what is intended but implicit in the source text.

| (7) | [Premier Zhu: China has set up its social security system very early, including old-age pension insurance, unemployment insurance and medical insurance. However, the system is far from being complete.] | Actually China has set up its social security network long ago. However, we are not seeing a very perfect and highly improved social security network that already now covers the areas of old-age pension insurance, of unemployment insurance and of medical insurance. |
| (8) | 记者：现在一年多过去了，请问总理，对去年的宏观调控有怎样的评价？今年宏观调控有什么特点？力度会不会进一步加大？ | [Journalist: Now over one year has passed. Mr. Premier, how do you comment on the macro-regulation last year? What are the characteristics of macro-regulation this year? Will the measures be intensified?] | Now a year has passed. Could you comment on last year's work with regard to macro-regulation? Could you also speak about the new characteristics of this year's macro regulatory work? Will you intensify this policy measures? A3 |
| (9) | 朱总理：这样，改善西部的生态环境，这样，就使西部地区有一个非常好的、美丽的生态环境和吸引外国投资的一个好的环境。 | [Premier Zhu: By doing so, the ecological environment in the West Region can be improved; there will be a very good and beautiful ecological environment there and a good environment to attract foreign investment.] | By doing so, we hope that ecological environment in China's west regions can be improved. Therefore, we believe that by implementing the strategy of developing China's west we will build a very beautiful ecological environment there and we can also improve the infrastructure building in that part of the country. A3 A3 |

In example 7, the interpreter makes explicit what is implied in the tone of the speaker by adding actually. In example 8, the interpreter adds new to characteristics, which is intended by the speaker. And in example 9, the interpreter adds we hope that and we believe that, which are implied in the speaker's message but not explicitly expressed.

4.1.4. A4 shifts: Repetition

A4 shifts refer to two kinds of repetition: repetition of synonymous words or phrases in target language expressions and repetition resulted from the interpreter's self-correction.

| (10) | 朱总理：那为什么反响这么大呢？那就是因为，在某一个国家有一些从来就是反对中国的人士，他们从来就是把中国当作潜在的敌人，要利用台湾这个不沉的航空母舰来反对中国。 | [Premier Zhu: Then why is the reaction so strong? That is because in a certain country some people have always been against China and they have always taken China as potential enemy, and they want to use Taiwan as an unsinkable aircraft carrier to oppose China.] | But why there has been such a strong reaction? I think the reason is that there are always some people in a certain country in the world, [sic] were always there standing against China and they always have taken China as the imaginary or as the potential enemy, and have always wanted to use Taiwan which is in their view "an unsinkable aircraft carrier" to oppose China and to do something against China. A4 A4 |
### (11)
朱总理: 我看不出这个反腐败问题跟一个党执政、多党执政有什么太大的关系。

**Premier Zhu:** I cannot see any significant relationship between anti-corruption and one-party rule or multi-party rule.

### (12)
朱总理: 因此他们就是愿意或者主张台湾问题要无限期地拖下去, 那今天你说不能够无限期, 那不就翻了天了吗?

**Premier Zhu:** Therefore, they would like or propose that the Taiwan question should be postponed indefinitely. Then today when you say it cannot be indefinitely, won’t that mean overturning the sky?

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In the above examples, the repetitions of *to oppose China* and *to do something against China* and *significant link or clear logic* are repetitions of synonymous words or phrases; while the repetitions of *as the imaginary or as the potential (enemy)* and *Chinese side or the mainland side* are repetitions resulted from the interpreter’s self-correction, because the first part is not accurate and the interpreter must have realized that instantly.

### 4.1.5. A5 shifts: Addition proper

As a contrast to A2 shifts, the sub-type of A5 shifts refers to the addition of new information which does not exist in the source text.

### (13)
温总理: 第三, 要加大对环境污染的专项整治。

**Premier Wen:** Third, we need to step up our efforts in special campaign of tackling environment pollution.

### (14)
记者: 我认为中国现在的社会阶层两极分化比较厉害, 所以有些中国专家也认为, 要改善这个现象, 培育和扩大中产阶层是必要的。你同不同意这样一来的看法?

**Journalist:** I think in the Chinese society now there is fairly serious polarization in social classes. Thus some Chinese experts agree that it is necessary to cultivate and expand the middle class to improve the situation. Do you agree to such a view?

Now in Chinese society, there has been the problem of polarization between the high-income people and low-income people. Some Chinese experts have also called for the improvement of the situation and they think it necessary to cultivate and expand the so-called “middle class.” Do you agree to such a view?
As are shown by the above examples, what is interesting about A5 shifts is that some new information is added to the target text, such as resource reservation in example 13, so-called (middle class) in example 14 and proper (policy) in example 15. The attitude and evaluation of the interpreter is especially salient to the audience in example 14 and 15.

Statistics of the occurrences of the five sub-types of shifts under Type A in the corpus can be observed in the following graph:

**4.2. Type R shifts – Reduction**

Two sub-types of shifts can be identified in the corpus under the category of Type R: R1 shifts (omission) and R2 shifts (compression).

**4.2.1. R1 shifts: Omission**

This type of shifts occurs when interpreters omit what they consider to be negligible information from the speaker’s words in their interpretation.

(16) 记者：总理，您好！我是新华社的记者。今年我国财政赤字是3098亿元，占国内生产总值比重的3%。我想问您：如何看待我国的财政风险问题？它会不会对下届政府产生影响？

[Journalist: Mr. Premier, Good morning! I'm from Xinhua News Agency. This year’s fiscal deficit is 309.8 billion yuan, accounting for 3 percent of GDP. What is your view concerning China’s fiscal risk? Will this have an impact on the next government?]

I’m from Xinhua News Agency. This year’s fiscal deficit will stand at 309.8 billion yuan, accounting for 3 percent of GDP. What is your view concerning China’s fiscal risk? And would this have an impact on the next government! R1
In the above example, the greeting words from the journalist are omitted by the interpreter. What is worth notice is that such shifts of omission usually occur in the interpretation of journalists’ remarks, but not in the interpretation of the Premier’s words, which may imply that interpreters tend to be more loyal to their principal of service (the Premier in the present study) when more than one speakers are involved in the activity.

4.2.2. R2 shifts: Compression

R2 shifts appear when the interpreter compresses loose structures and redundancy in the source text and makes them streamlined in the target language expression.

(17) [Premier Zhu: The white paper simply gives a comprehensive and detailed exposition on the principled positions and policies of China on the question of Taiwan, and this includes the formulation of peaceful reunification and one country two systems put forward by Deng Xiaoping, as well as the eight policies, eight reforms… eight measures… eight principles put forward by President Jiang Zeming. So there is nothing new.]

And in fact, what the white paper does is to give a comprehensive and detailed exposition on all the principled positions and policies of China on the question of Taiwan, and actually this included the formulation of peaceful reunification and one country two systems put forward by Deng Xiaoping, as well as the eight-point proposals put forward by General Secretary Jiang Zeming. So there is no new proposition in the white paper. R2

(18) [Premier Zhu: As for the problem of “indefinitely,” I do not know how to interpret it into English. Someone told me I should use “indefinitely,” and someone also told me that a Latin word should be used, which is sinedie. I’m not sure whether I said clearly or the pronunciation is correct or not, because I do not know Latin.]

As for the word “indefinitely,” I do not know what is the best interpretation of the word in English. Someone told me the English version should be “indefinitely,” and someone also come up with another recommendation. It was a Latin word, which is sinedie. I do not know whether I pronounce the term correctly in Latin, because I do not have any knowledge about the language of Latin. R2

It is often the case that when the speaker speaks spontaneously on site, their speech tends to be characterized by loose structures, repetition and redundancy. While dealing with that, interpreters are apt to compress the expression in the target text, as are shown by the above examples.

Statistics of the occurrences of the two sub-types of shifts under Type R in the corpus can be observed in the following graph:
4.3. Type C’ shifts – Correction

Type C’ shifts occur when interpreters deliberately correct the information or expressions that they believe are inaccurate or wrong.

(19)

| Premier Zhu: As for my term of office, it is true that half of the time has been spent already. |
| As for my term of office, it is true I have already finished nearly half of my term. C’ |

(20)

| Premier Wen: In this law it is stated that the interests of Taiwan business people must be protected. |
| The law has also provided for protection of the legitimate rights and interests of Taiwan business people. C’ |

(21)

| Premier Wen: Last year after the Indian Ocean Tsunami we organized the biggest international rescue effort. |
| I can give you an example. Last year in the wake of the Indian Ocean Tsunami China organized a major international rescue effort. C’ |

In example 19, the interpreter may know from his background knowledge that Premier Zhu has not finished half of his term in office by the time of the press conference. Therefore, he corrected that piece of information. In example 20, hearing the speaker say interests … must be protected, the interpreter may judge that only legitimate interests shall be protected, thus the correction. In example 21, maybe there is a slip of the tongue when the speaker says biggest; therefore, the interpreter corrects it as major, which is the information true to the fact.

Statistics of the occurrences of shifts under Type C’ in the corpus can be observed in the following graph:
5. Major Findings and Discussion

5.1. Statistics reflecting regularity of shifts

5.1.1. Occurrences of the three types of shifts in each interpreter

The following graph shows the statistics of occurrences of ST-TT inter-textual shifts under the three categories in every interpreter’s interpretation:

It can be observed from the above graph that there are significant occurrences of Type A shifts (Addition) in the interpretation of all the five interpreters and fewer occurrences of Type R (Reduction) shifts and Type C’ shifts (Correction), which implies that interpreters tend to add or elaborate more frequently than reduce and correct in their interpretation.

5.1.2. Average occurrence of every type of shifts across conferences

The average occurrence of every type of shifts across conferences can be seen as an index indicating the regularity of the interpreters’ behaviour of making shifts in their interpretation. Statistics of the average frequency of every type of shifts across the five press conferences in the corpus are shown in the following graph:
5.1.3. Frequency of shifts in the interpretation of each interpreter

The statistics of the frequency of shifts in the interpretation of each interpreter in the corpus are calculated on the basis of occurrences per minute, as is shown in the following graph:

As can be observed from the above graph, the frequency of shifts in the interpretation of all the interpreters ranges from 2.4 occurrences per minute to 6.3 occurrences per minute. The average frequency of shifts across the five interpreters’ interpretation is 3.9 occurrences per minute, which means the interpreter makes about four shifts within every minute while interpreting.

5.2. Norms of ST-TT relations

The above statistics of occurrences of shifts, the average frequency of shifts across all the conferences and frequency of shifts for every interpreter in the corpus as well as qualitative analysis of every type of shifts reveal the existence of four typical norms of ST-TT relations in interpreting as the following:

a) The norm of adequacy in interpretation:
   Interpreters tend to adhere to the norm of adequacy in interpretation, according to which they pursue accuracy, consistency and completeness of information conveyed in source texts, mainly through A1, A2, A3 and A4 shifts.
b) The norm of explicitation in logic relations:
Interpreters tend to adhere to the norm of explicitation in logical cohesion and coherence in interpretation as is achieved mainly through A1 shifts.

c) The norm of specificity in information content:
Interpreters tend to adhere to the norm of specificity in information content in interpretation as is achieved mainly through A2 shifts.

d) The norm of explicitness in meaning:
Interpreters tend to adhere to the norm of improved level of explicitness in meaning and message conveyance in interpretation as is achieved mainly through A3 shifts.

5.3. Discussion

It can be seen from the high frequency of A1, A2, A3 and A4 shifts in the corpus that the norm of adequacy plays a major role in the consecutive interpreting of the Chinese Premier Press Conferences. While A5 shifts, Type R shifts and Type C’ shifts may in appearance be considered as exception to the norm of adequacy, their low frequency of occurrences in the corpus suggests that interpreters tend to be very cautious in adding new information, reducing the speaker’s words or correcting the speaker’s mistakes. And the qualitative analysis of the corpus reveals that those types of shifts, which are made by the interpreters with their sound judgment based on good command of relevant knowledge, actually contribute in most cases to adequacy in interpretation and reflect their loyalty to their principle of service.

Acceptability of the target text is another major motive for the shifts in the interpreters’ interpretation, which is evident in their adherence to the norm of explicitation in logic relations, the norm of specificity in information content and the norm of explicitness in meaning in their target texts.

The norms revealed in the present study based on a relatively large corpus confirm what Gile (1999) proposed as the norm of “maximizing information recovery” and the norm of “maximizing the communication impact of the speech,” which correspond to the first norm and the other three norms respectively.

6. Conclusion

This initial trial of descriptive study of norms in interpreting based on a relatively large corpus of on-site interpretation, which reveals four norms of ST-TT relations, can serve as a tentative exploration of the methodology in descriptive interpreting studies. It may also shed new light on interpreting quality studies in that quality assessment should be more norms-based rather than based solely on such static quality criteria as equivalence between the source and target languages.

The deficiency of the present study may be complemented by more description of interpretation in other interpreting modes, e.g., simultaneous interpreting and in various interpreting settings, such as business interpreting, escort interpreting and court interpreting, which can be topics for further exploration.
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